

STATEMENT UNDER 37 CFR 3.73(b)

Claus Harder, Roland Rohde, Bernd Heublein (deceased), Erhard
 Applicant/Patent Owner: Flach, Wolfgang Geistert, Gernot Kolberg, and Eva Heublein,
Nora Heublein and Christoph Heublein as legal representatives
 Application No./Patent No./Control No.: TBA Filed/Issue Date: TBA July 11, 2006

Entitled: **IMPLANT FOR RELEASING AN ACTIVE SUBSTANCE INTO A VESSEL
 THROUGH WHICH A BODY MEDIUM FLOWS**

Biotronik VI Patent AG

(Name of Assignee)

a Switzerland company

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

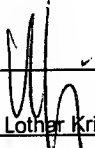

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

		June 9, 2006
Dr. Lothar Krings	Christoph Böhmer	Date
Printed or Typed Name		Telephone Number
Director	Director	
Title		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT OF INVENTION AND PATENT RIGHTS

We, CLAUS HARDER of Memelstraße 7, 91080 Uttenreuth, Germany; ROLAND ROHDE of Flaatzmoor 4, 31303 Burgdorf, Germany; BERND HEUBLEIN (deceased) (legal representatives for Bernd Heublein: EVA HEUBLEIN of Albrechtstrasse 2, 30627 Hannover, Germany, as legal representative of Bernd Heublein; NORA HEUBLEIN of Ostlandstrasse 50, 50858 Köln, Germany, as legal representative of Bernd Heublein; and CHRISTOPH HEUBLEIN of Albrechtstraße 2, 30627 Hannover, Germany, as legal representative of Bernd Heublein); ERHARD FLACH of Krusauer Straße 20a, 12305 Berlin, Germany; WOLFGANG GEISTERT of Rheinstraße 7, 79618 Rheinfelden, Germany; and GERNOT KOLBERG of Karl-Marx-Straße 37, 12043 Berlin, Germany, (collectively, the "Assignor"), in consideration of One Dollar and other valuable consideration paid to us by BIOTRONIK VI PATENT AG, a Switzerland company maintaining its principal place of business at Neuhofstrasse 4, CH-6341 Baar, Switzerland ("Assignee"), the receipt and adequacy of which is hereby acknowledged, do hereby sell, assign, and transfer unto Assignee, its successors and assigns, each of their entire rights, title and interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property, including the right to sue for past infringement and return all damages and related awards, in a certain invention or improvement in the invention entitled:

<p>IMPLANT FOR RELEASING AN ACTIVE SUBSTANCE INTO A VESSEL THROUGH WHICH A BODY MEDIUM FLOWS</p>
--

described in an application prepared for filing in the United States Patent and Trademark Office and filed on July 11, 2006 and accorded application number _____, which is the national stage of international application number PCT/EP2005/001167, filed February 4, 2005, which claims priority to Germany application number DE 102004006745.7, filed February 6, 2004 and German application number DE 102004029611.1, filed June 9, 2004, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, reissue or other applications, based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with Assignee and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to Assignee, with specifications and claims in such form as shall be approved by the counsel of Assignee and to vest and confirm in Assignee its successors and assigns, the legal title to all such patents.


And we do hereby authorize and request the Commissioner for Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to Assignee, its successors and assigns.

Assignor

June 8, 2006
Date

Claus Harder
CLAUS HARDER

June 15, 2006
Date

Assignor

ROLAND ROHDE

Assignor

Date

EVA HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date

NORA HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date

CHRISTOPH HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date

ERHARD FLACH

	Assignor
_____	_____
Date	ROLAND ROHDE

	Assignor
13 th of June 2006	<u>Eva Heublein</u>
Date	EVA HEUBLEIN As Legal Representative for Bernd Heublein

	Assignor
17 th June 2006	<u>Nora Heublein</u>
Date	NORA HEUBLEIN As Legal Representative for Bernd Heublein

	Assignor
21 st June 2006	<u>Christoph Heublein</u>
Date	CHRISTOPH HEUBLEIN As Legal Representative for Bernd Heublein

	Assignor
_____	_____
Date	ERHARD FLACH

Assignor

Date _____

ROLAND ROHDE

Assignor

Date _____

EVA HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date

NORA HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date _____

CHRISTOPH HEUBLEIN
As Legal Representative for Bernd Heublein

Assignor

Date _____

ERHARD FLACH

ASSIGNMENT
Page 3 of 3

<u>June 8, 2006</u> Date	Assignor <u>Wolfgang Geistert</u> WOLFGANG GEISTERT
-----------------------------	---

<u>June 8, 2006</u> Date	Assignor <u>Gernot Kolberg</u> GERNOT KOLBERG
-----------------------------	---

<u>June 21, 2006</u> Date	Assignee <u>Dr. Lothar Krings</u> - Director <u>Christoph Böhmer</u> - Director
------------------------------	---